

09/28/00  
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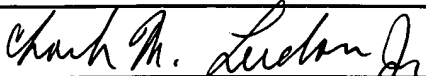
PTO/SB/05 (1/98)  
Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>		Attorney Docket No. 0301-396	
		First Inventor or Application Identifier Joseph B. Sainton	
		Title: ADAPTIVE OMNI-MODAL RADIO APPARATUS AND METHODS	
		Express Mail Label No.	
<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.		<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification <span style="float: right;">Total Pages [ 43 ]</span> (preferred arrangement set forth below)</p> <ul style="list-style-type: none"><li>- Descriptive title of the Invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to Microfiche Appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) <span style="float: right;">Total Sheets [ 16 ]</span></p> <p>4. <input checked="" type="checkbox"/> Oath or Declaration <span style="float: right;">Total Pages [ 3 ]</span></p> <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Newly executed (original or copy)</li><li>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]</li><li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li></ul> <p>5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p>		<p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer Readable Copy</li><li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li><li>c. <input type="checkbox"/> Statement verifying identity of above copies</li></ul> <p><b>ACCOMPANYING APPLICATION PARTS</b></p> <p>8. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <span style="float: right;"><input type="checkbox"/> Power of Attorney</span> (when there is an assignee)</p> <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input type="checkbox"/> Information Disclosure Statement <span style="float: right;"><input type="checkbox"/> Copies of IDS</span> (IDS)/PTO-1449 Citations</p> <p>12. <input type="checkbox"/> Preliminary Amendment</p> <p>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>14. <input type="checkbox"/> *Small Entity <span style="float: right;"><input type="checkbox"/> Statement filed in prior application,</span> Statement(s) Status still proper and desired (PTO/SB/09-12)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Other:</p> <p>*A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon.</p>	
<p>17. If a <b>CONTINUING APPLICATION</b>, check appropriate box, and supply the requisite information below and in a preliminary amendment:</p> <p><input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No. <u>09/149,292</u></p> <p>Prior application information: Examiner: _____ Group/Art Unit: _____</p>			
<b>18. CORRESPONDENCE ADDRESS</b>			
<p><input checked="" type="checkbox"/> Customer Number or Bar Code Label <span style="float: right;">Customer No. 22204</span> or <input type="checkbox"/> Correspondence address below</p> <p style="text-align: center;">(Insert Customer No. or Attach bar code label here)</p>			
<p>Name: Charles M. Leedom, Jr., Esq. Firm: NIXON PEABODY LLP Address: 8180 Greensboro Drive, Suite 800 City: McLean State: VA Zip Code: 22102 Country: U.S.A. Telephone (703) 790-9110 FAX (703) 883-0370</p>			
<p>Name (Print/Type) Charles M. Leedom, Jr. Registration No. (Attorney Agent) 26,477</p>			
<p>Signature <u>Charles M. Leedom, Jr.</u> Date: <u>9-26-00</u></p>			

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FEE TRANSMITTAL		Complete If Known																																																			
<i>Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.</i>		Application Number																																																			
		Filing Date																																																			
		First Named Inventor		Joseph B. Sainton																																																	
		Examiner Name																																																			
		Group Art Unit																																																			
TOTAL AMOUNT OF PAYMENT		\$744.00	Attorney Docket Number		0301-396																																																
METHOD OF PAYMENT (check one)		3. ADDITIONAL FEES FEE CALCULATION (continued)																																																			
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account No. 19-2380 Deposit Account Name: NIXON PEABODY LLP  <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance  2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		Large Entity		Small Entity																																																	
		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid																																														
<b>FEE CALCULATION</b>  1. BASIC FILING FEE <table style="width: 100%; font-size: 0.7em;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>101</td><td>690</td><td>201</td><td>345</td><td>Utility filing fee</td><td>[690]</td></tr> <tr><td>106</td><td>310</td><td>206</td><td>155</td><td>Design filing fee</td><td>[ ]</td></tr> <tr><td>107</td><td>480</td><td>207</td><td>240</td><td>Plant filing fee</td><td>[ ]</td></tr> <tr><td>108</td><td>690</td><td>208</td><td>345</td><td>Reissue filing fee</td><td>[ ]</td></tr> <tr><td>114</td><td>150</td><td>214</td><td>75</td><td>Provisional filing fee</td><td>[ ]</td></tr> <tr><td colspan="5"><b>SUBTOTAL (1)</b></td><td><b>\$690.00</b></td></tr> </tbody> </table>		Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	101	690	201	345	Utility filing fee	[690]	106	310	206	155	Design filing fee	[ ]	107	480	207	240	Plant filing fee	[ ]	108	690	208	345	Reissue filing fee	[ ]	114	150	214	75	Provisional filing fee	[ ]	<b>SUBTOTAL (1)</b>					<b>\$690.00</b>	105	130	205	65	Surcharge-late filing fee or oath	
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127	50	227	25	Surcharge-late provisional filing fee or cover sheet																																																	
139	130	139	130	Non-English specification																																																	
147	2,520	147	2,520	For filing a request for reexamination																																																	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action																																																	
115	110	215	55	Ext for reply within first month																																																	
116	380	216	190	Ext for reply within second mth																																																	
117	870	217	435	Ext for reply within third mth																																																	
118	1,360	218	680	Ext for reply within fourth mth																																																	
128	1,850	228	925	Ext for reply within fifth month																																																	
119	300	219	150	Notice of Appeal																																																	
120	300	220	150	Filing brief in support of appeal																																																	
121	260	221	130	Request for Oral Hearing																																																	
138	1,510	138	1,510	Petition to institute public use proceeding																																																	
140	110	240	55	Petition to revive-unavoidable																																																	
141	1,210	241	605	Petition to revive-unintentional																																																	
142	1,210	242	605	Utility issue fee (or reissue)																																																	
143	430	243	215	Design issue fee																																																	
144	580	244	290	Plant issue fee																																																	
122	130	122	130	Petitions to the Commissioner																																																	
123	50	123	50	Petitions related to provisional applications																																																	
126	240	126	240	Submission of IDS																																																	
581	40	581	40	Recording each patent assignment per property (times number of properties)																																																	
146	760	246	380	Filing a submission after final rejection (37 CFR 1.129(a))																																																	
149	760	249	380	For each additional invention to be examined (37 CFR 1.129(b))																																																	
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Typed or Printed Name		Charles M. Leedom, Jr.		Complete (if applicable)																																																	
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		Date	9-26-00	Deposit Account User ID	19-2380																																																

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